

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail Stop ISSUE FEE**
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571)-273-2885

MAR 23 2009

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

12/17/2008

Blakely, Sokoloff, Taylor & Zafman
 12400 Wilshire Boulevard
 7th Floor
 Los Angeles, CA 90025

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Christopher P. Marshall	(Depositor's name)
<i>Christopher P. Marshall</i>	(Signature)
3/17/2009	
(Date)	

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/568,608	09/21/2006	Luc Hasenfratz	7675P002	9183

TITLE OF INVENTION: STOCK RETRIEVAL SYSTEM

03/23/2009 NNGUYEN2 00000081 10568688

01 FC:2501 755.00 OP
 02 FC:1504 300.00 OP
 03 FC:6001 30.00 OP

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	03/17/2009

EXAMINER	ART UNIT	CLASS-SUBCLASS
BIDWELL, JAMES R	3651	198-347100

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).	2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.	1 <u>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP</u> 2 _____ 3 _____
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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

ARX Limited

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Herts, GREAT BRITAIN

Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Government

4a. The following fee(s) are submitted:

Issue Fee
 Publication Fee (No small entity discount permitted)
 Advance Order - # of Copies ten (10)

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

A check is enclosed.
 Payment by credit card. Form PTO-2038 is attached.
 The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 02-2666 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature Lester J. Vincent

Date March 17, 2009

Typed or printed name Lester J. Vincent

Registration No. 31,460

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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Christopher P. Marshall

(Depositor's name)

Christopher P. Marshall

(Signature)

3/17/2009

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/568,608	09/21/2006	Luc Hasenfratz	7675P002	9183

TITLE OF INVENTION: STOCK RETRIEVAL SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
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--	---	---

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ARK Limited

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Herts, GREAT BRITAIN

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 Publication Fee (No small entity discount permitted)
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a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature Lester J. Vincent

Date March 17, 2009

Typed or printed name Lester J. Vincent

Registration No. 31,460

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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MAR 23 2009

FEE TRANSMITTAL FOR FY 2009

(Effective on 10/02/2008. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

TOTAL AMOUNT OF PAYMENT (\$) 1,085.00

Company Known:

Application No. 10/568,608
Filing Date September 21, 2006
First Named Inventor Luc Haenfratz
Examiner Name Bidwell, James R.
Art Unit 3651
Attorney Docket No. 7675P002

Applicant claims small entity status. See 37 CFR 1.27.

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order None Other (please identify)

Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name: _____

The Director is Authorized to do the following with respect to the above-identified Deposit Account:

Charge fee(s) indicated below.

Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application.

Charge fee(s) indicated below except for the filing fee

Credit any overpayments.

Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Large Entity	Small Entity	Fee Description	Fees Paid (\$)
Fee Code	Fee Code	Fee Description	
1011	330	2011 165 Utility application filing fee	1,090/545
1111	540	2111 270 Utility search fee	
1311	220	2311 110 Utility examination fee	
1012	220	2012 110 Design application filing fee	
1112	100	2112 50 Design search fee	460/230
1312	140	2312 70 Design examination fee	
1013	220	2013 110 Plant filing fee	
1113	330	2113 165 Plant search fee	720/360
1313	170	2313 85 Plant examination fee	
1014	330	2014 165 Reissue filing fee	
1114	540	2114 270 Reissue search fee	1,520/760
1314	650	2314 325 Reissue examination fee	
1005	220	2005 110 Provisional application filing fee	
SUBTOTAL (1)			<u>\$ 0.00</u>

2. EXCESS CLAIM FEES

Fee Description

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description</u>
1202	52	2202	26	Each claim over 20
1201	220	2201	110	Each independent claim over 3
1203	390	2203	195	Multiple dependent claims, if not paid
1204	220	2204	110	Reissue: each claim over 20 and more than in the original patent
1205	52	2205	26	Reissue: each independent claim more than in the original patent

Extra Claims

Fee

Fees Paid (\$)

Total Claims _____ - 20 or HP = _____ X \$ 26.00 = _____

HP = highest number of total claims paid for, if greater than 20

Independent Claims _____ - 3 or HP = _____ X \$110.00 = _____

HP = highest number of independent claims paid for, if greater than 3

Multiple Dependent Claims _____ = _____

SUBTOTAL (2) \$ 0.00

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 C.F.R. 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additonal 50 or fraction thereof</u>	<u>Fee from below</u>	<u>Fees paid (\$)</u>
_____	- 100 = _____ / 50 = _____ (round up to whole number)	X \$135.00	_____	

Large Entity Small Entity

Fee Code Fee (\$) Fee Code Fee (\$)

Fee Description: Application size fee for each additional group of 50 sheets beyond initial 100 sheets (count spec & drawings except sequences & program listings):

1081	270	2081	135	Utility
1082	270	2082	135	Design
1083	270	2083	135	Plant
1084	270	2084	135	Reissue
1085.....270	2085	135		Provisional

SUBTOTAL (3)\$ 0.00

FEE CALCULATION (continued)

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fees Paid (\$)</u>
Fee Code	Fee (\$)	Fee Code (\$)	
1051	130	2051	65 Surcharge - late filing fee or oath
1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130 Non-English specification
1812	2,520	1812	2,520 For filing a request for ex parte reexamination
1813	8,800	1813	8,800 Request for inter parties reexamination
1804	920*	1804	920* Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action
1251	130	2251	65 Extension for reply within first month
1252	490	2252	245 Extension for reply within second month
1253	1,110	2253	555 Extension for reply within third month
1254	1,730	2254	865 Extension for reply within fourth month
1255	2,350	2255	1,175 Extension for reply within fifth month
1401	540	2401	270 Notice of Appeal
1402	540	2402	270 Filing a brief in support of an appeal
1403	1,080	2403	540 Request for oral hearing
1451	1,510	1451	1,510 Petition to institute a public use proceeding
1452	540	2452	270 Petition to revive - unavoidable
1453	1,620	2453	810 Petition to revive - unintentional
1501	1,510	2501	755 Utility issue fee (or reissue)
1502	860	2502	430 Design issue fee
1503	1,190	2503	595 Plant issue fee
1462	400	1462	400 Petitions to the Commissioner (CFR 1.17(f) Group I)
1463	200	1463	200 Petitions to the Commissioner (CFR 1.17(g) Group II)
1464	130	1464	130 Petitions to the Commissioner (CFR 1.17(h) Group III)
1807	50	1807	50 Processing fee under 37 CFR 1.17(q)
1806	180	1806	180 Submission of Information Disclosure Stmt
8021	40	8021	40 Recording each patent assignment per property (times number of properties)
1809	810	2809	405 For filing a submission after final rejection (see 37 CFR 1.129(a))
1814	140	2814	70 Statutory Disclaimer
1810	810	2810	405 For each additional invention to be examined (see 37 CFR 1.19(b))
1801	810	2801	405 Request for Continued Examination (RCE)
1802	900	1802	900 Request for expedited examination of a design application
1504	300	1504	300 Publication fee for early, voluntary, or normal pub.
1505	300	1505	300 Publication fee for republication
1803	130	1803	130 Request for voluntary publication or republication
1808	130	1808	130 Processing fee under 37 CFR 1.17(i) (except provisionals)
1454	1,410	1454	1,410 Acceptance of unintentionally delayed claim for priority

Other fee (specify) Printed Copy of patent w/o color (10 copies) 30.00

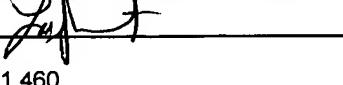
Other fee (specify) _____

SUBTOTAL (4)\$ 1,085.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Lester J. Vincent

Signature:  Date: March 17, 2009

Reg. Number: 31,460 Telephone Number: 408-720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



FEE TRANSMITTAL FOR FY 2009

(Effective on 10/02/2008. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).)

TOTAL AMOUNT OF PAYMENT (\$) 1,085.00

Complete if Known:

Application No. 10/568,608
 Filing Date September 21, 2006
 First Named Inventor Luc Haenfratz
 Examiner Name Bidwell, James R.
 Art Unit 3651
 Attorney Docket No. 7675P002

Applicant claims small entity status. See 37 CFR 1.27.

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order None Other (please identify)
 Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name: _____

The Director is Authorized to do the following with respect to the above-identified Deposit Account:

- Charge fee(s) indicated below.
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FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fees Paid (\$)</u>
Fee Code	Fee (\$)	Fee Code (\$)	
1011	330	2011 165	Utility application filing fee
1111	540	2111 270	Utility search fee
1311	220	2311 110	Utility examination fee
			1,090/545

1012	220	2012 110	Design application filing fee
1112	100	2112 50	Design search fee
1312	140	2312 70	Design examination fee
			460/230

1013	220	2013 110	Plant filing fee
1113	330	2113 165	Plant search fee
1313	170	2313 85	Plant examination fee
			720/360

1014	330	2014 165	Reissue filing fee
1114	540	2114 270	Reissue search fee
1314	650	2314 325	Reissue examination fee
			1,520/760
			~

1005	220	2005 110	Provisional application filing fee

SUBTOTAL (1)			\$ 0.00

2. EXCESS CLAIM FEES

Fee Description

Large Entity	Small Entity
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Large Entity	Small Entity		
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
1202	52	2202	26
1201	220	2201	110
1203	390	2203	195
1204	220	2204	110
1205	52	2205	26

Fee Description

Each claim over 20

Each independent claim over 3

Multiple dependent claims, if not paid

Reissue: each claim over 20 and more than in the original patent

Reissue: each independent claim more than in the original patent

	<u>Extra Claims</u>	<u>Fee</u>	<u>Fees Paid (\$)</u>
Total Claims	– 20 or HP =	<u>X \$ 26.00</u>	= _____
HP = highest number of total claims paid for, if greater than 20			
Independent Claims	– 3 or HP =	<u>X \$110.00</u>	= _____
HP = highest number of independent claims paid for, if greater than 3			
Multiple Dependent Claims		<u>_____ = _____</u>	
SUBTOTAL (2) \$ <u>0.00</u>			

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_____ – 100 = _____ / 50 = _____ (round up to whole number)	<u>X \$135.00</u>			_____

Large Entity	Small Entity
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SUBTOTAL (3)\$ 0.00

FEE CALCULATION (continued)

4. OTHER FEE(S)

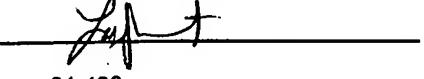
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1253	1,110	2253	555
1254	1,730	2254	865
1255	2,350	2255	1,175
1401	540	2401	270
1402	540	2402	270
1403	1,080	2403	540
1451	1,510	1451	1,510
1452	540	2452	270
1453	1,620	2453	810
1501	1,510	2501	755
1502	860	2502	430
1503	1,190	2503	595
1462	400	1462	400
1463	200	1463	200
1464	130	1464	130
1807	50	1807	50
1806	180	1806	180
8021	40	8021	40
1809	810	2809	405
1814	140	2814	70
1810	810	2810	405
1801	810	2801	405
1802	900	1802	900
1504	300	1504	300
1505	300	1505	300
1803	130	1803	130
1808	130	1808	130
1454	1,410	1454	1,410
Other fee (specify)	<u>Printed Copy of patent w/o color (10 copies)</u>		<u>30.00</u>
Other fee (specify)			
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Signature:  Date: March 17, 2009

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